

General and Planar #CSP Equality Corresponds to Classical and Quantum Isomorphism

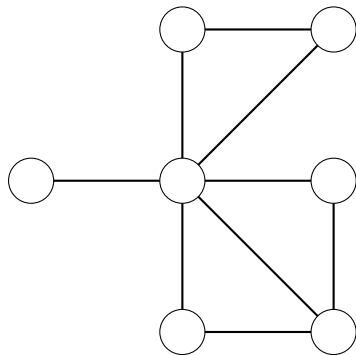
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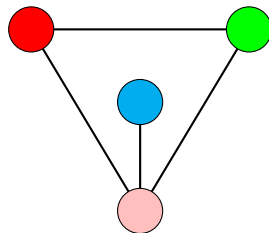
November 9, 2023

Graph Homomorphism

A mapping $\phi : V(K) \rightarrow V(G)$ is a **graph homomorphism** if it maps all edges to edges: $\{u, v\} \in E(K) \implies \{\phi(u), \phi(v)\} \in E(G)$.



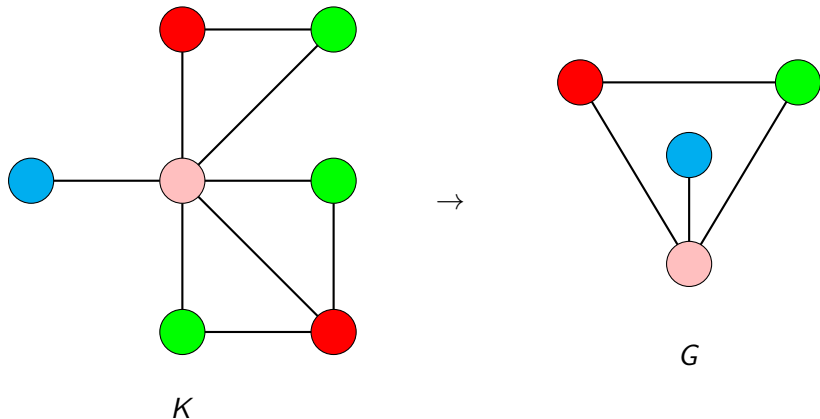
K



G

Graph Homomorphism

A mapping $\phi : V(K) \rightarrow V(G)$ is a **graph homomorphism** if it maps all edges to edges: $\{u, v\} \in E(K) \implies \{\phi(u), \phi(v)\} \in E(G)$.



Let $\text{hom}(K, G)$ be the number of graph homomorphisms from K to G .

Theorem (Lovász'67)

$G \cong H$ iff $[\text{hom}(K, G) = \text{hom}(K, H) \ \forall \text{ graph } K]$.

Goal: Extend this theorem to $\#CSP$.

The partition function for graph homomorphism

$$\begin{aligned}\text{hom}(K, G) &= \sum_{\phi: V(K) \rightarrow V(G)} \mathbb{1}_{\phi \text{ is a homomorphism } K \rightarrow G} \\ &= \sum_{\phi: V(K) \rightarrow V(G)} \prod_{(u,v) \in E(K)} \mathbb{1}_{(\phi(u), \phi(v)) \in E(G)} \\ &= \sum_{\phi: V(K) \rightarrow V(G)} \prod_{(u,v) \in E(K)} A_G(\phi(u), \phi(v))\end{aligned}$$

- A_G is adjacency matrix of G .

Counting graph homomorphisms is a **counting constraint satisfaction problem** (#CSP).

- F is a **constraint function** on domain $D(F)$.
 - F of arity n is map $F : D(F)^n \rightarrow \mathbb{R}$
- $\text{\#CSP}(\cdot, F)$ input is **instance** $\mathbf{K} = (\mathbf{X}, \mathbf{C})$
 - \mathbf{X} is set of **variables**
 - $(x_{i_1}, \dots, x_{i_n}) \in \mathbf{C}$ is a **constraint** applying F to variables x_{i_1}, \dots, x_{i_n} .

$$\text{\#CSP}((\mathbf{X}, \mathbf{C}), F) = \sum_{\phi: \mathbf{X} \rightarrow D(F)} \prod_{(x_{i_1}, \dots, x_{i_n}) \in \mathbf{C}} F(\phi(x_{i_1}), \dots, \phi(x_{i_n})).$$

$\text{hom}(K, G)$ has variable set $\mathbf{X} = V(K)$, domain $D(F) = V(G)$, constraint set $\mathbf{C} = E(K)$, and constraint function $F = A_G$.

$$\begin{aligned} \text{hom}(K, G) &= \sum_{\phi: V(K) \rightarrow V(G)} \prod_{(u, v) \in E(K)} A_G(\phi(u), \phi(v)) \\ &= \text{\#CSP}((V(K), E(K)), A_G). \end{aligned}$$

Why study #CSP?

- Beautiful complexity dichotomy theorems

Theorem (Cai-Chen'17)

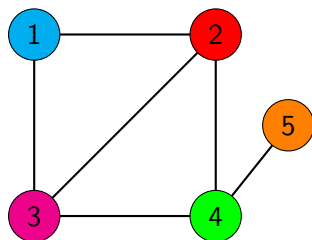
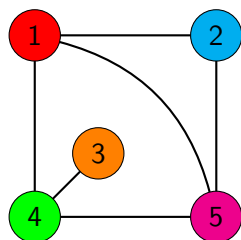
For *any* finite set \mathcal{F} of \mathbb{C} -valued constraint functions, $\#CSP(\cdot, \mathcal{F})$ is always *either* in P *or* $\#P$ -hard, with *nothing* in between.

Theorem (Cai-Fu'19)

For *any* set \mathcal{F} of \mathbb{C} -valued constraint functions over Boolean variables, $\#CSP(\cdot, \mathcal{F})$ is *exactly* one of the following:

- 1 P -time solvable;
- 2 P -time solvable over planar graphs but $\#P$ -hard over general graphs;
- 3 $\#P$ -hard over planar graphs.

Constraint function isomorphism



$$\begin{aligned}\sigma(1) &= 2 \\ \sigma(2) &= 1 \\ \sigma(4) &= 4 \\ \sigma(5) &= 3 \\ \sigma(3) &= 5\end{aligned}$$

- Graphs $G \cong H$ if \exists a bijection $\sigma : V(G) \rightarrow V(H)$ such that

$$A_G(u, v) = A_H(\sigma(u), \sigma(v))$$

for every u, v .

- A_G, A_H are binary constraint functions.
- n -ary $F \cong G$ if \exists a bijection $\sigma : D(F) \rightarrow D(G)$ such that

$$F(x_1, \dots, x_n) = G(\sigma(x_1), \dots, \sigma(x_n))$$

for every x_1, \dots, x_n .

#CSP invariance determines isomorphism

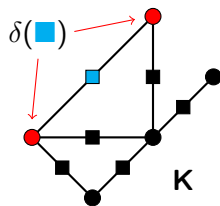
Theorem (Lovász'67)

$G \cong H$ iff $[\text{hom}(K, G) = \text{hom}(K, H) \ \forall \text{ graph } K]$.

Theorem (Y. '23)

$F \cong G$ iff $[\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G) \ \forall \#CSP \text{ instance } \mathbf{K}]$.

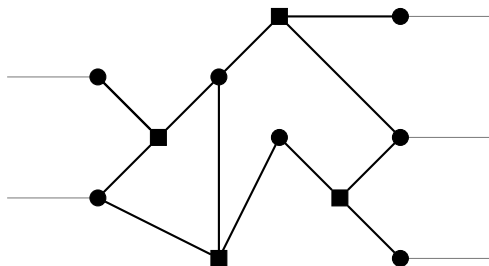
- $A_G : V(G)^2 \rightarrow \{0, 1\}$ is
 - Binary
 - Symmetric
 - 0-1-valued
- Our F is in general
 - Arbitrary-arity,
 - Asymmetric,
 - Real-valued.



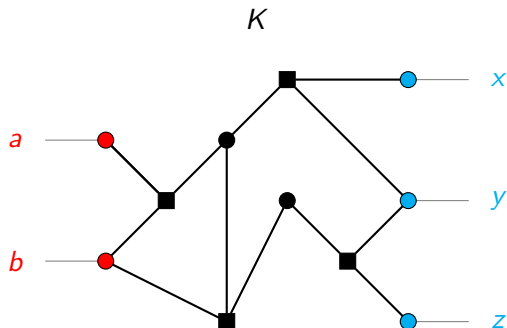
- Constraint-variable incidence graph
- \bullet – variable
- \blacksquare – constraint (F)
- \blacksquare applied to adjacent variables $\delta(\blacksquare)$.

$$\#\text{CSP}(K, F) = \sum_{\phi: \{\bullet\} \rightarrow D(F)} \prod_{\blacksquare} F(\phi(\delta(\blacksquare))).$$

- A **gadget** is a signature grid with **dangling edges**.
- Several constraint functions assembled into a new constraint.
- Inputs along dangling edges.



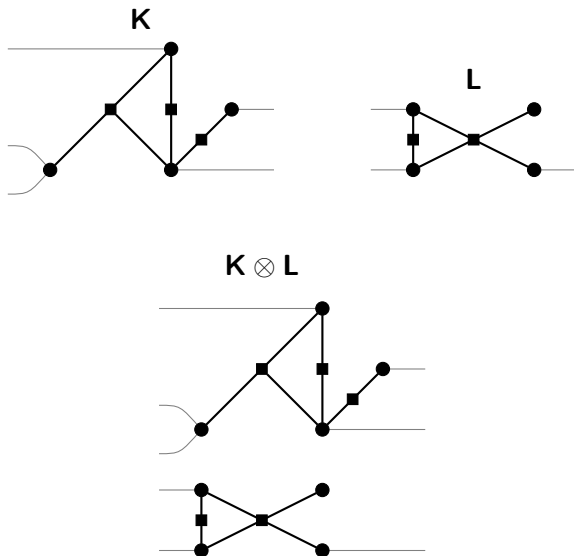
Gadgets and signature matrices



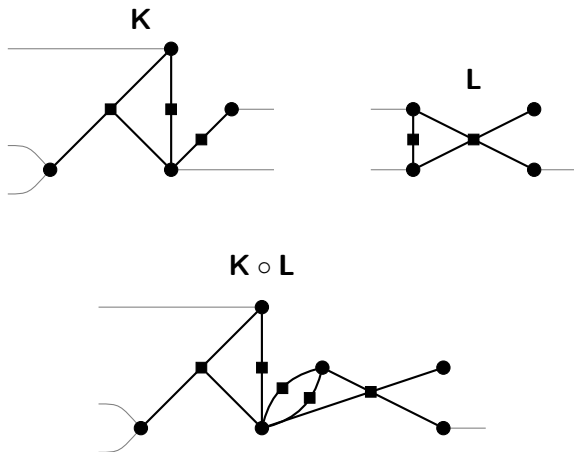
- $a, b, x, y, z \in D(F)$
- $|D(F)|^2 \times |D(F)|^3$ signature matrix $M(\mathbf{K})$.

$$M(\mathbf{K})_{ab,xyz} = \sum_{\substack{\phi: \{\bullet\} \rightarrow D(F) \\ \phi(\bullet, \bullet) = (a, b) \\ \phi(\bullet, \bullet, \bullet) = (x, y, z)}} \prod_{\blacksquare} F(\phi(\delta(\blacksquare))).$$

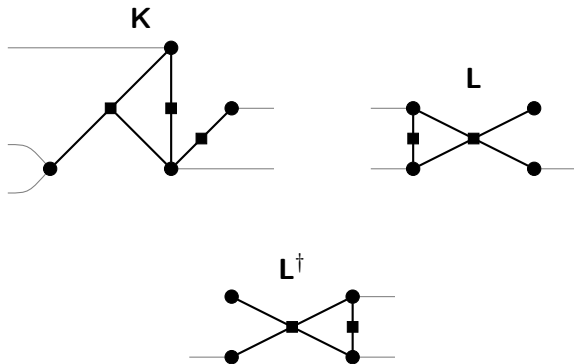
Gadget operations



Gadget operations



Gadget operations



- Gadget operations correspond to signature matrix operations:
 - $M(\mathbf{K} \otimes \mathbf{L}) = M(\mathbf{K}) \otimes M(\mathbf{L})$
 - $M(\mathbf{K} \circ \mathbf{L}) = M(\mathbf{K})M(\mathbf{L})$
 - $M(\mathbf{K}^\dagger) = M(\mathbf{K})^\dagger$

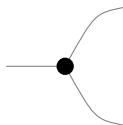
Theorem

The set of all $\#CSP(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0}, \mathbf{S} \rangle_{\circ, \otimes, \dagger}$.

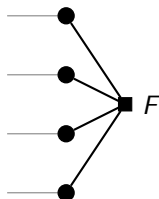
$\mathbf{E}^{1,0}$



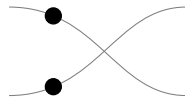
$\mathbf{E}^{1,2}$



$\mathbf{F}^{n,0}$

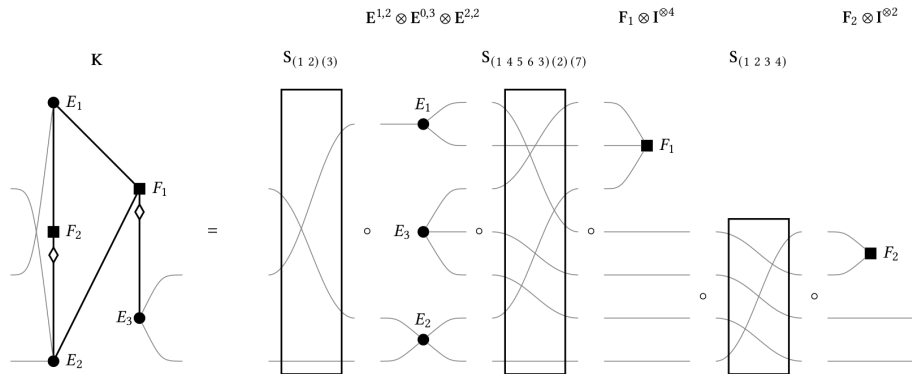


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$$M(\mathbf{E}^{1,2})_{x,yz} = \begin{cases} 1 & x = y = z \\ 0 & \text{otherwise} \end{cases}.$$

Gadget decomposition



Recall: n -ary $F \cong G$ if \exists a bijection $\sigma : D(F) \rightarrow D(G)$ such that

$$F(x_1, \dots, x_n) = G(\sigma(x_1), \dots, \sigma(x_n))$$

for every x_1, \dots, x_n .

- Let P_σ be the permutation matrix for σ
- Let $f, g \in \mathbb{R}^{|D(F)|^n}$ be vectorizations of F, G
- $P_\sigma^{\otimes n} f = g$
- Think: apply σ to each axis
- $F \cong G$ iff there is a permutation matrix P s.t. $P^{\otimes n} f = g$.
- $\text{Aut}(F) = \{P : P^{\otimes n} f = f\}$.

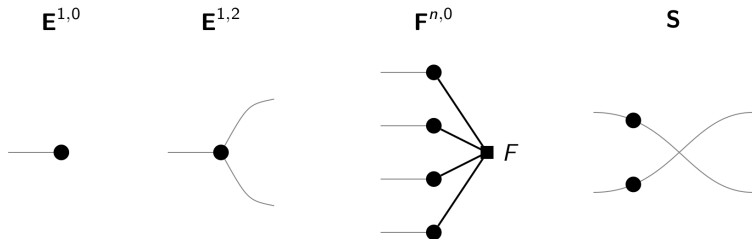
The intertwiner space

$$C_{\text{Aut}(F)} = \{ \text{matrix } A \mid P^{\otimes m} A = A P^{\otimes d} \ \forall P \in \text{Aut}(F) \}$$

All the matrices A fixed by every automorphism of F

Lemma

$$C_{\text{Aut}(F)} = \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}), M(\mathbf{S}) \rangle_{\circ, \otimes, \dagger}).$$



- $M(\mathbf{F}^{n,0}) = f \in C_{\text{Aut}(F)}$ (because $P^{\otimes n} f = f$ if $P \in \text{Aut}(F)$).
- $M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{S})$ fixed under all permutations, so in $C_{\text{Aut}(F)}$.
- \subseteq : *Tannaka-Krein duality* for classical permutation groups.

Lemma

$$C_{\text{Aut}(F)} = \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}), M(\mathbf{S}) \rangle_{\circ, \otimes, \dagger}).$$

Recall:

Theorem

The set of all $\# \text{CSP}(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0}, \mathbf{S} \rangle_{\circ, \otimes, \dagger}$.

Corollary

$$C_{\text{Aut}(F)} = \text{span}(\text{Signature matrices of } \# \text{CSP}(\cdot, F) \text{ gadgets}).$$

Corollary

$C_{\text{Aut}(F)} = \text{span}(\text{Signature matrices of } \# \text{CSP}(\cdot, F) \text{ gadgets}).$

Connection between $\text{Aut}(F)$ and gadgets gives:

Lemma

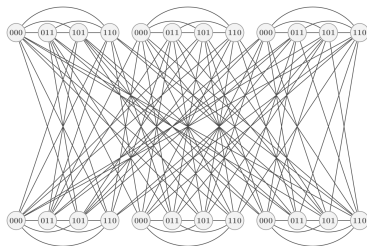
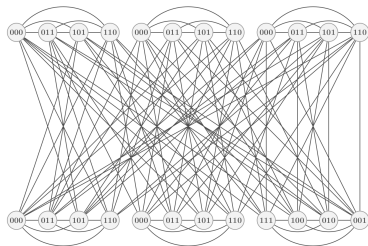
Let $x, y \in D(F)$. If $M(\mathbf{K})_x = M(\mathbf{K})_y$ for every gadget \mathbf{K} with one dangling edge, then $\exists \sigma \in \text{Aut}(F)$ s.t. $\sigma(x) = y$.

- Create F' : add a domain elt v_f to $D(F)$ 'adjacent' to every other domain elt.
- Create G' similarly by adding v_g .
- Apply Lemma to v_f and v_g and 'disjoint union' $F' \sqcup G'$
- (use assumption that $\# \text{CSP}(\mathbf{K}, F) = \# \text{CSP}(\mathbf{K}, G)$ for every \mathbf{K}).
- Gives $\sigma \in \text{Aut}(F' \sqcup G')$ such that $\sigma(v_f) = v_g$
- F' and G' are 'connected', so $F \cong G$.

- Recall $F \cong G$ if there is a permutation matrix P such that $P^{\otimes n}f = g$.
- Say $F \cong_q G$ (F and G are **quantum isomorphic**) if there is a **quantum permutation matrix** \mathcal{U} such that $\mathcal{U}^{\otimes n}f = g$.
- Quantum isomorphism originally defined in terms of a quantum nonlocal game [AMRSSV '18].
 - Defined a cooperative nonlocal game s.t. players have win probability 1 iff two graphs are isomorphic
 - Graphs are quantum isomorphic iff the players have win probability 1 when allowed to measure a shared quantum state.

There are pairs of graphs which are quantum isomorphic but not isomorphic!

- From theory of quantum nonlocal games [AMRSSV '18]



- Non-isomorphic Hadamard graphs [Chan and Martin '24]

A **quantum permutation matrix** is an abstract relaxation of a permutation matrix.

- Entries come from an abstract space instead of $\{0, 1\}$.
- Entries **don't necessarily commute**.
- Rows and columns still add up to 1
- Product of distinct elements in same row or column is 0
- Any quantum permutation matrix whose entries commute is a permutation matrix.

Theorem (Lovász'67)

$G \cong H$ iff $[\text{hom}(K, G) = \text{hom}(K, H) \forall \text{ graph } K]$.

Theorem (Mančinska-Roberson '19)

$G \cong_q H$ iff $[\text{hom}(K, G) = \text{hom}(K, H) \forall \text{ *planar* graph } K]$.

Theorem (Y.'23)

$F \cong G$ iff $[\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G) \forall \#CSP \text{ instance } \mathbf{K}]$.

Theorem (Cai-Y.'23)

$F \cong_q G$ iff $[\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G) \forall \text{ *planar* } \#CSP \text{ instance } \mathbf{K}]$.

- $\#CSP$ instance is planar if its signature grid (constraint-variable incidence graph) is planar.
- What does planarity have to do with noncommutativity?

The planar gadget decomposition

Recall:

Theorem

The set of all $\#CSP(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0}, \mathbf{S} \rangle_{\circ, \otimes, \dagger}$.

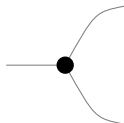
Theorem

The set of all **planar** $\#CSP(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0} \rangle_{\circ, \otimes, \dagger}$.

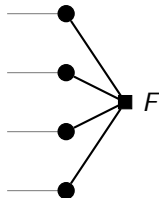
$\mathbf{E}^{1,0}$



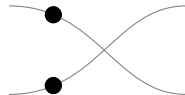
$\mathbf{E}^{1,2}$



$\mathbf{F}^{n,0}$

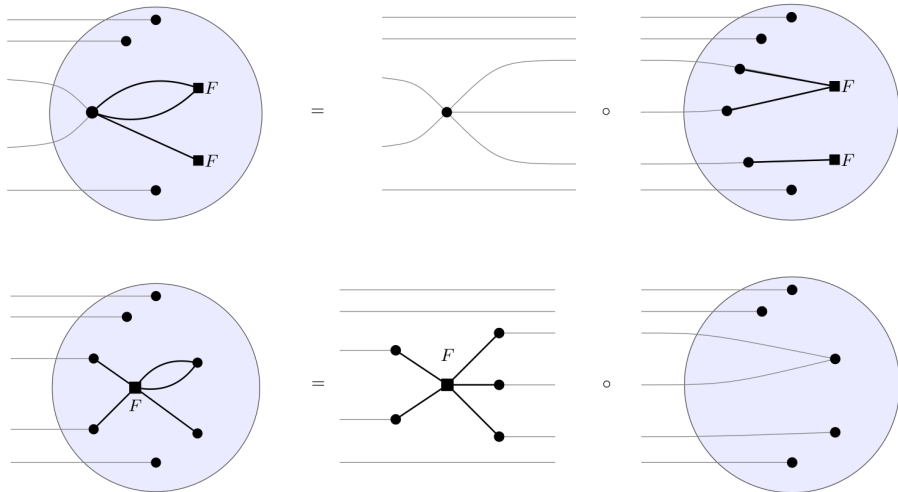


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The planar gadget decomposition

- Can decompose any planar gadget into a chain of simple gadgets



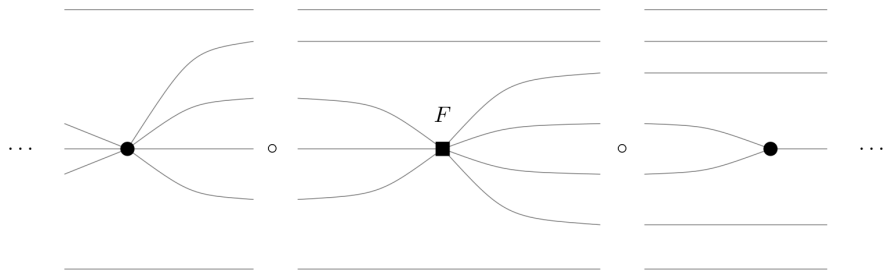
Recall our main theorem:

Theorem (Cai-Y.'23)

$F \cong_{qc} G$ iff $\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G) \forall$ planar \mathbf{K} .

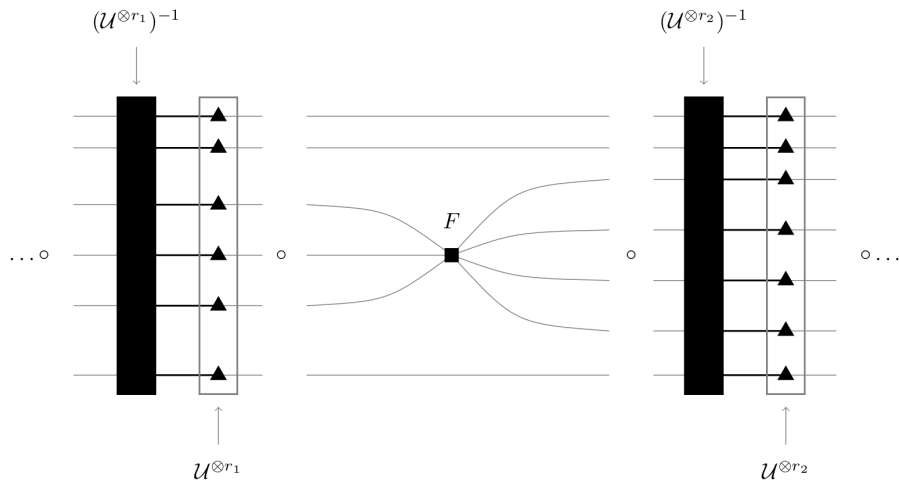
- Suppose $F \cong_{qc} G$, so $\mathcal{U}^{\otimes n} f = g$ for quantum permutation matrix \mathcal{U} .
- (\implies): View \mathcal{U} itself as a constraint function.

A quantum holographic transformation



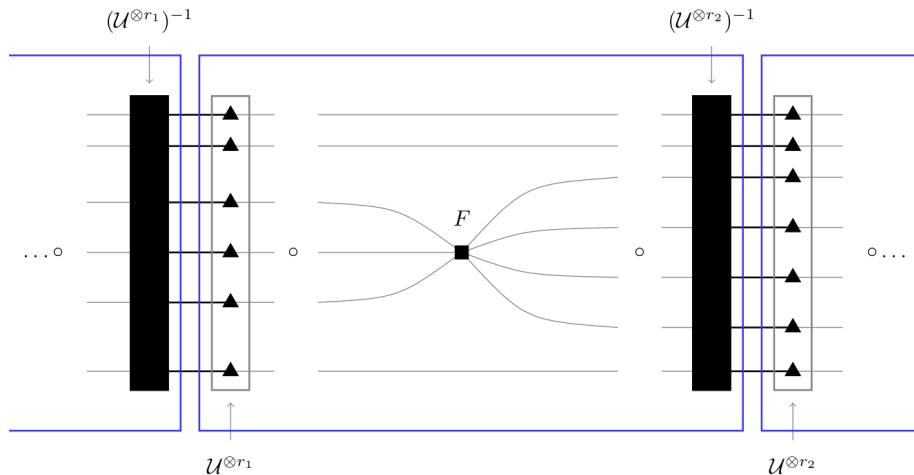
The planar gadget decomposition converts \mathbf{K} to a composition of building block gadgets.

A quantum holographic transformation



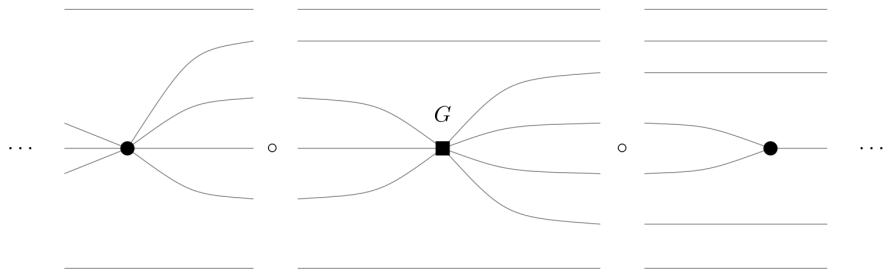
Insert $(\mathcal{U}^{\otimes r_i})^{-1} \mathcal{U}^{\otimes r_i} = I$ between the i th and $(i + 1)$ st factors (preserves $\#CSP(\mathbf{K}, F)$ value).

A quantum holographic transformation



Reassociate. Now, $U^{\otimes n} f = g$ and U doesn't affect \bullet vertices, so...

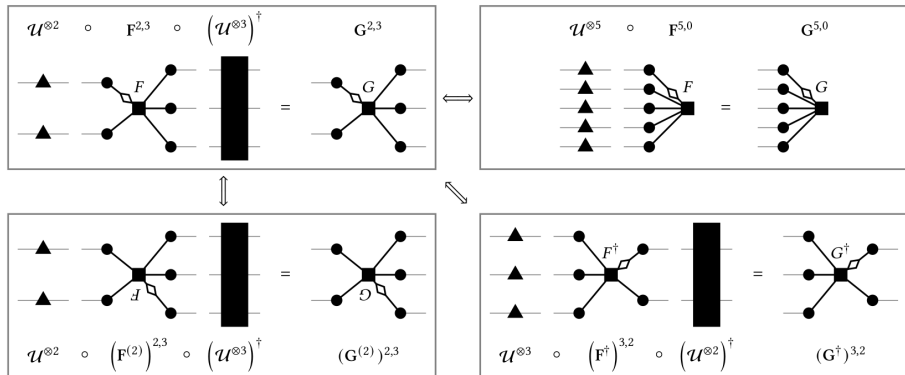
A quantum holographic transformation



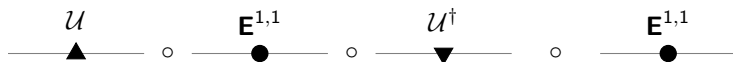
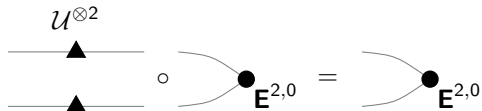
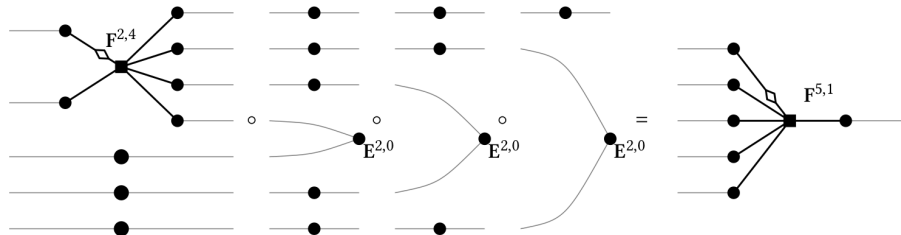
Every F is converted to G without changing the $\#CSP$ value:
 $\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G)$.

- Can't view \mathcal{U} as a constraint function in general (nonplanar) signature grids because entries of \mathcal{U} don't commute.
 - #CSP value is a sum of products of constraint function evaluations.
- Planar gadget decomposition gives order of vertices
 - hence multiplication order of \mathcal{U} entries.

Planar Symmetries



Planar Symmetries



The quantum automorphism group and its intertwiners

Theorem (Cai-Y.'23)

$F \cong_{qc} G$ iff $\#CSP(\mathbf{K}, F) = \#CSP(\mathbf{K}, G) \forall \text{ planar } \mathbf{K}$.

- Next, prove (\Leftarrow). Similar techniques to classical/nonplanar proof, but more involved.

Definition

Quantum permutation matrix \mathcal{U} s.t. $\mathcal{U}^{\otimes n} f = f$ defines the *quantum automorphism group* $\text{Qut}(F)$ of F .

- Recall $\mathcal{U}^{\otimes n} f = g$ defined quantum isomorphism of F and G .
- Instead of studying $\text{Qut}(F)$ directly, study its intertwiner space

$$C_{\text{Qut}(F)} = \{ \text{matrix } A \mid \mathcal{U}^{\otimes m} A = A \mathcal{U}^{\otimes d} \}$$

Characterization of the intertwiners

Recall:

Theorem

The set of all $\#CSP(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0}, \mathbf{S} \rangle_{\circ, \otimes, \dagger}$.

Lemma

$$\begin{aligned} C_{\text{Aut}(F)} &= \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}), M(\mathbf{S}) \rangle_{\circ, \otimes, \dagger}) \\ &= \text{span}(\text{Signature matrices of } \#CSP(\cdot, F) \text{ gadgets}) \end{aligned}$$

Theorem

The set of all **planar** $\#CSP(\cdot, F)$ gadgets is exactly $\langle \mathbf{E}^{1,0}, \mathbf{E}^{1,2}, \mathbf{F}^{n,0} \rangle_{\circ, \otimes, \dagger}$.

Now this follows similarly to classical case using Tannaka-Krein duality:

Lemma

$$\begin{aligned} C_{\text{Qut}(F)} &= \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}) \rangle_{\circ, \otimes, \dagger}) \\ &= \text{span}(\text{Signature matrices of **planar** } \#CSP(\cdot, F) \text{ gadgets}). \end{aligned}$$

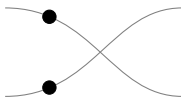
Lemma

$$\begin{aligned} C_{\text{Aut}(F)} &= \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}), M(\mathbf{S}) \rangle_{\circ, \otimes, \dagger}) \\ &= \text{span}(\text{Signature matrices of } \# \text{CSP}(\cdot, F) \text{ gadgets}) \end{aligned}$$

Lemma

$$\begin{aligned} C_{\text{Qut}(F)} &= \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}) \rangle_{\circ, \otimes, \dagger}) \\ &= \text{span}(\text{Signature matrices of } \textcolor{red}{\text{planar}} \# \text{CSP}(\cdot, F) \text{ gadgets}). \end{aligned}$$

S



- **S** allows for nonplanar gadgets.
- Also, **S** $\in C_{\text{Qut}(F)}$ iff entries of \mathcal{U} defining $\text{Qut}(F)$ commute!

The converse

Theorem (Cai-Y.'23)

$F \cong_q G$ iff $[\#CSP(\mathbf{K}, F) = \text{hom}(\mathbf{K}, G) \ \forall \text{ planar } \#CSP \text{ instance } \mathbf{K}]$.

Lemma

$$\begin{aligned} C_{\text{Qut}(F)} &= \text{span}(\langle M(\mathbf{E}^{1,0}), M(\mathbf{E}^{1,2}), M(\mathbf{F}^{n,0}), M(\mathbf{S}) \rangle_{\circ, \otimes, \dagger}) \\ &= \text{span}(\text{Signature matrices of planar } \#CSP(\cdot, F) \text{ gadgets}) \end{aligned}$$

Lemma

Let $x, y \in D(F)$. If $M(\mathbf{K})_x = M(\mathbf{K})_y$ for every planar gadget \mathbf{K} with one dangling edge, then F has a 'quantum automorphism' mapping x to y .

Lemma

Let $x, y \in D(F)$. If $M(\mathbf{K})_x = M(\mathbf{K})_y$ for every **planar** gadget \mathbf{K} with one dangling edge, then F has a 'quantum automorphism' mapping x to y .

- Trick from classical case still works for quantum isomorphism:
 - Requires theory of orbits of quantum permutation groups [Lupini, Mančinska and Roberson '17]
- Add vertices v_f to F and v_g to G adjacent to all other vertices
- Apply Lemma to v_f and v_g and 'disjoint union' $F' \sqcup G'$.
- Gives quantum automorphism of $F' \sqcup G'$ sending v_f to v_g .
- $F \cong_q G$.

Thank you!
Questions?